

Notice of Award

Issue Date: 07/05/2013



COOPERATIVE AGREEMENTS Department of Health and Human Services Centers for Disease Control and Prevention NATIONAL CENTER FOR IMMUNIZATION AND RESPIRATORY DISEASES

Grant Number: 3U51IP000526-02S1

Principal Investigator(s): KHATUNA ZAKHASHVILI

Project Title: Sustaining Influenza Surveillance Networks and Response to Seasonal

NATA AVALIANI, DIRECTOR L. SAKVARELIDZE NATIONAL CENTER FOR 9 M. ASATIANI STREET TBILISI. **GEORGIA**

Budget Period: 09/30/2012 - 09/29/2013 Project Period: 09/30/2011 - 09/29/2016

Dear Business Official

The Centers for Disease Control and Prevention hereby awards a grant in the amount of \$94,500 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to L. SAKVARELIDZE NATIONAL CENTER FOR DISEASE CONTROL AND in support of the above referenced project. This award is pursuant to the authority of PHS Act, Sec 1706, 42USC 300u-5,as amended; Sec 2(d),PL 98-551 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section

Hector Buitrago

Sincerely yours.

Grants Management Officer

Centers for Disease Control and Prevention

Additional information follows

SECTION I - AWARD DATA - 3U51IP000526-02S1

AMOUNT OF THIS ACTION (FEDERAL SHARE)

Award Calculation (U.S. Dollars)	
Salaries and Wages Personnel Costs (Subtotal) Equipment Supplies Travel Costs	\$10,000 \$10,000 \$20,000 \$19,000
Other Costs Consortium/Contractual Cost	\$8,500 \$2,000 \$35,000
Federal Direct Costs Approved Budget Federal Share TOTAL FEDERAL AWARD AMOUNT	\$94,500 \$94,500 \$94,500 \$94,500

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

\$94,500

03 \$0 04 \$0 05 \$0

Fiscal Information:

CFDA Number:

93.283

EIN:

1900216575A1

Document Number:

UIP000526A

IC IC	CAN	2013
P	939ZZJR	\$94,500

SUMMARY TOTAL F	EDERAL AWARD AMOUNT YEAR (2)
GRANT NUMBER	TOTAL FEDERAL AWARD AMOUNT
3U51IP000526-02S1	\$94,500
5U51IP000526-02	\$300,000
TOTAL	\$394,500

SUMMARY TOTALS FOR ALL YEARS			
YR	THIS AWARD	CUMULATIVE TOTALS	
2	\$94,500	\$394,500	
3	\$0	\$332,800	
4	\$0	\$322,800	
5	\$0	\$322.800	

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

CDC Administrative Data:

PCC: / OC: 4141 / Processed: BUITRAGOH 07/05/2013

SECTION II - PAYMENT/HOTLINE INFORMATION - 3U51IP000526-02S1

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and

Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III - TERMS AND CONDITIONS - 3U51IP000526-02S1

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.

b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.

c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.

d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.

e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income: Additional Costs

SECTION IV - IP Special Terms and Conditions - 3U51IP000526-02S1

REVISED NOTICE OF COOPERATIVE AGREEMENT AWARD NUMBER: 3U51IP000526-02 (REVISION 1) GRANTEE: REPUBLIC OF GEORGIA

- 1. The purpose of this revised Notice of Award is to provide supplemental funding in the amount of \$94,500 in support of H7N9 preparedness.
- 2. These funds are approved for the current fiscal year only with no commitment for continued support in future budget periods.
- Please be advised that the grantee must exercise proper stewardship over Federal funds by ensuring that all costs charged to their cooperative agreement are allowable, allocable, and reasonable.
- 4. Note 6, is revised to read: Annual Financial Status Report and Progress Report in the Notice of Award dated August 24, 2012 is hereby changed to reflect the following update:

ANNUAL FEDERAL FINANCIAL REPORT (FFR)(SF 425):
The Annual Federal Financial Report (FFR) SF 425 is required and must be submitted through eRA Commons within 90 days after the end of the calendar quarter in which the budget period ends. The FFR for this budget period is due to the Grants Management Specialist by December 30, 2013 Reporting timeframe is September 30, 2012 through September 29, 2013.

The FFR should only include those funds authorized and disbursed during the timeframe covered by the report. The final FFR must indicate the exact balance of unobligated funds and may not reflect any unliquidated obligations. There must be no discrepancies between the final FFR expenditure data and the Payment Management System's (PMS) cash transaction data.

Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to submit a letter explaining the reason and date by which the Grants Officer will receive the information.

eRa Commons website: http://era.nih.gov/
If the FFR is not finalized by the due date, an interim FFR must be submitted, marked NOT
FINAL, and an amount of un-liquidated obligations should be annotated to reflect unpaid
expenses. Electronic versions of the form can be downloaded into Adobe Acrobat and completed
on-line by reviewing,
http://www.whitehouse.gov/sites/default/files/omb/assets/grants_forms/SF-425.pdf

5. FY 2012 Appropriations Provision is added by amendment and Note 26, FY 2012 Enacted General Provisions in the Notice of Award dated August 24, 2012 is hereby amended to reflect the following:

FY2012 Appropriations Provision and FY 2012 Enacted General Provisions (NOT APPLICABLE TO PEPFAR FUNDS)

General Provisions Title II

Section 203 - Cap on Researcher Salaries

None of the funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II; reduced from \$199,700 to \$179,700 effective December 23, 2011.

*** Note 1: The salary limitation applies to all individuals directly or indirectly funded by the grant, not just researchers.

*** Note 2: Senior Executive Level II salary can be found at the Office of Personnel Management web site: http://www.opm.gov/oca/12tables/indexSES.asp

SALARY CAP LIMITATIONS:

Timeframe of Award: FY 12 awards issued on or before December 22, 2011, that have had no FY 12 funds obligated since December 23

Salary Cap: Executive Level I (\$199,700)

Program Action: None for current year. May adjust salary levels for future years to ensure no funds are awarded for salaries over the limit

Grantee Action: None for current year. Apply salary limit as specified in continuation guidance in future years. Carryover request may reflect salary limitations in affect at the time of award.

Timeframe of Award: FY 12 awards issued on or after December 23, 2011

Salary Cap: Executive Level II (179,700)

Program Action: Adjust salary levels for current and future years to ensure no funds are awarded for salaries over the limit

Grantee Action: Adjust salary levels for current and future years and re-budget funds freed as a result of the lower limit

Timeframe of Award: Awards in previous fiscal years

Salary Cap: As specified in original award

Program Action: None Grantee Action: None

6. Note 3, Unallowable Costs and Note 7, Reporting of Foreign Taxes in the Notice of Award dated August 24, 2012 is hereby replaced with the following:

Valued-Added Tax (VAT) and Customs Duties Custom and import duties, including consular fees, customs surtax, valued-added taxes, and other related charges are hereby authorized as an allowable cost incurred from the effective date of September 13, 2012 until September 12, 2013. This waiver does not apply to countries where a bilateral agreement (or similar legal document) is already in place providing applicable tax exemptions and it is not applicable to the Ministries of Health.

The U.S. Department of State requires that agencies collect and report information on the amount of taxes assessed, reimbursed and not reimbursed by a foreign government against commodities financed with funds appropriated by the U.S. Department of State, Foreign Operations and Related Programs Appropriations Act (SFOAA) of 2011 (United States foreign assistance funds). Outlined below are the specifics of this requirement:

a) Annual Report. The grantee must submit a report on or before November 16 for each foreign country on the amount of foreign taxes charged, as of September 30 of the same year, by a foreign government on commodity purchase transactions valued at 500 USD or more financed with United States foreign assistance funds under this grant during the prior United States fiscal year (October 1 September 30), and the amount reimbursed and unreimbursed by the foreign government. [Reports are required even if the grantee did not pay any taxes during the reporting period.]

b) Quarterly Report. The grantee must quarterly submit a report on the amount of foreign taxes charged by a foreign government on commodity purchase transactions valued at 500 USD or more financed with United States foreign assistance funds under this grant. This report shall be submitted no later than two weeks following the end of each quarter: April 15, July 15, October 15 and January 15.

c) Terms: For purposes of this clause:

Commodity means any material, article, supplies, goods, or equipment;

Foreign government includes any foreign government entity;

Foreign taxes means value-added taxes and custom duties asses by a foreign government on a commodity. It does not include foreign sales taxes.

Where: Submit the reports to the Director and Deputy Director of the CDC office in the d) country(ies) in which you are carrying out the activities associated with this cooperative agreement. In countries where there is no CDC office, send reports to VATreporting@cdc.gov.

Contents of Reports. The reports must contain: e)

i. grantee name:

ii. contact name with phone, fax, and e-mail;

iii. agreement number(s) if reporting by agreement(s);

reporting period; iv.

amount of foreign taxes assessed by each foreign government; ٧.

amount of any foreign taxes reimburse by each foreign government; Vi. amount of foreign taxes unreimbursed by each foreign government. VII.

Subagreements. The grantee must include this reporting requirement in all applicable f) subgrants and other subagreements.

- 7. Note 12, Consultant and Contractual Information in the Notice of Award dated August 03, 2012 is hereby deleted.
- 8. All other terms and conditions remain the same.

PLEASE REFERENCE YOUR AWARD NUMBER ON ALL CORRESPONDENCE.

STAFF CONTACTS

Grants Management Specialist: Steward Nichols Centers for the Disease Control and Prevention Procurement and Grant Office Koger Center, Colgate Building 2920 Brandywine Road, Mailstop K&% Atlanta, GA 30341

Email: snichols1@cdc.gov Phone: 770-488-2788 Fax: 770-488-2688

Grants Management Officer: Hector Buitrago Centers for Disease Control and Prevention Procurement and Grants Office Koger Center, Colgate Building 2920 Brandywine Road, Mail Stop E-09 Atlanta, GA 30341

Email: gmf2@cdc.gov Phone: 770-488-2921 Fax: 770-488-2777

SPREADSHEET SUMMARY

GRANT NUMBER: 3U51IP000526-02S1

INSTITUTION: NATIONAL CENTER/DISEASE CTRL/MED STATS

Budget	Year 2	Year 3	Year 4	
Salaries and Wages	\$10,000	70070	rear 4	Year 5
Personnel Costs (Subtotal)	\$10,000			
Equipment	\$20,000			
Supplies	\$19,000			
Travel Costs	\$8,500			
Other Costs	\$2.000			
Consortium/Contra ctual Cost				
TOTAL FEDERAL DC	\$94,500			
TOTAL FEDERAL				
TOTAL COST	\$94,500	\$0	\$0	\$0