Notice of Award

COOPERATIVE AGREEMENTS **Issue Date:** 09/02/2011

Department of Health and Human Services
Centers for Disease Control and Prevention
NATIONAL CENTER FOR INFECTIOUS DISEASES (NCID)

Grant Number: 5U51CI000460-05 REVISED

Principal Investigator(s):
ZAKHASHVILI KHATUNA, MD

Project Title: Surveillance & Response to Avian & Pandemic Influenza by Nat'l Hlth Auth outside

L. SAKVARELIDZE
NATIONAL CENTER FOR DISEASE CNTRL AND PUBLIC HLTH
9 ASATIANI STREET
TBILISI,
GEORGIA

Budget Period: 09/30/2010 – 09/29/2012
Project Period: 09/30/2006 – 09/29/2012

Dear Business Official:

The Centers for Disease Control and Prevention hereby revises this award (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to L. SAKVARELIDZE NATIONAL CENTER FOR DISEASE CONTROL AND in support of the above referenced project. This award is pursuant to the authority of PHS Act,Sec 1706,42USC 300u-5,as amended;Sec 2(d),PL 98-551 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Arthur Lusby
Grants Management Officer
Centers for Disease Control and Prevention

Additional information follows

SECTION I – AWARD DATA – 5U51CI000460-05 REVISED

Award Calculation (U.S. Dollars)

Salaries and Wages	$49,248
Personnel Costs (Subtotal)	$49,248
Equipment	$123,490
Supplies	$19,360
Travel Costs	$47,400
Other Costs	$35,902
Consortium/Contractual Cost	$49,600
Federal Direct Costs	$325,000
Approved Budget	$325,000
Federal Share	$325,000
TOTAL FEDERAL AWARD AMOUNT	$325,000
AMOUNT OF THIS ACTION (FEDERAL SHARE)	$0

Fiscal Information:

CFDA Number: 93.283
EIN: 1900216575A1
Document Number: UCI000460A

IC	*CAN*	*2010*
IP	921ZGPP	$325,000

SUMMARY TOTAL FEDERAL AWARD AMOUNT YEAR (5)	
GRANT NUMBER	**TOTAL FEDERAL AWARD AMOUNT**
5U51CI000460-05	$325,000
3U51CI000460-05S1	$80,000
TOTAL	**$405,000**

SUMMARY TOTALS FOR ALL YEARS		
YR	**THIS AWARD**	**CUMULATIVE TOTALS**
5	$325,000	$405,000

CDC Administrative Data:
PCC: N / **OC**: 4141

SECTION II – PAYMENT/HOTLINE INFORMATION – 5U51CI000460-05 REVISED

For payment information see Payment Information section in Additional Terms and Conditions.

INSPECTOR GENERAL: The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

SECTION III – TERMS AND CONDITIONS – 5U51CI000460-05 REVISED

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

a. The grant program legislation and program regulation cited in this Notice of Award.
b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

National Center For Immunization And Respiratory Diseases (IP)

Treatment of Program Income:
Additional Costs

SECTION IV – CI Special Terms and Conditions – 5U51CI000460-05 REVISED

REVISED NOTICE OF COOPERATIVE AGREEMENT
AWARD NUMBER: 5U51CI000460-05 (REVISION-2)
GRANTEE: L. SAKVARELIDZE NATIONAL CENTER FOR DISEASE CONTROL AND PUBLIC HEALTH
APPROVAL LIST NUMBER: IR0582K11

1. The purpose of this amended Notice of Award is to authorize a twelve (12) month no-cost extension in the Year 05, budget period. The budget and project period end dates are extended through September 29, 2012. The Year 05, budget period will cover the period from September 30, 2010 through September 29, 2012.

2. CLOSEOUT REQUIREMENTS: The above referenced Cooperative Agreement is scheduled to expire on September 29, 2012.

REPORTING REQUIREMENTS: The SF 269 (Financial Status Report-FSR), is due to the CDC Grants Management Office 90 days after the end of the budget period (December 29, 2012). The FSR is not to report costs cumulatively. The Annual Progress Report is due 90 days after the end of the project period December 29, 2012.

3. All other terms and conditions remain the same.

PLEASE REFERENCE YOUR AWARD NUMBER ON ALL CORRESPONDENCE.

REVISED NOTICE OF COOPERATIVE AGREEMENT
AWARD NUMBER: 3U51CI000460-05S1 (REVISION-1)
GRANTEE: L. SAKVARELIDZE NATIONAL CENTER FOR DISEASE CONTROL AND PUBLIC HEALTH
APPROVAL LIST NUMBER: IR0016K11

1. The purpose of this amended Notice of Award is to:

a. Provide the remaining partial funding in the amount of $80,000.00 which was approved, but unfunded, in the original application dated April 23, 2010. The Notice of Award dated August 17, 2010 was awarded in the amount of $325,000.00.

b. Authorize the carryover of Year 04, available funds in the amount of $30,000.00 to Year 05. This action is taken in accordance with your request dated December 10, 2010.

ESTIMATED UNOBLIGATED BALANCE: The amount of $30,000.00 in estimated unobligated funds from the Year 04, budget period are carried over for use in this budget period. If the actual unobligated balance is less when the Financial Status Report is submitted, then the amount used on this award will be reduced by the difference between the estimated and the actual. Please see the attached spreadsheet for the breakdown of funds by budget categories.

2. All other terms and conditions remain unchanged.

PLEASE INCLUDE YOUR AWARD NUMBER ON ALL CORRESPONDENCE.

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1. INCORPORATION: This award is not only subject to any terms and conditions detailed in the award, but also to those cited and incorporated by reference. Funding Opportunity Announcement Number CI06-607 entitled "Surveillance and Response to Avian and Pandemic Flu by National Health Authorities outside the U.S." and the application dated April 23, 2010 are made a part of this award by reference.

This action awards funds in the amount of $325,000.00 for budget period 05, from September 30, 2010 through September 29, 2011. The project period ends September 29, 2011.

2. GOVERNING REGULATIONS, POLICIES AND PROCEDURES: 45 Code of Federal Regulation Part 74, Uniform Administrative Requirements for Awards and Subawards to Institutions of Higher Education, Hospitals and Other Nonprofit Organizations, 2 CFR Part 215, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, and other Non-Profit Organizations and 2 CFR Part 230, Cost Principles for Non-Profit Organizations.

3. CLOSEOUT REQUIREMENTS: The above referenced Cooperative Agreement is scheduled to expire on September 29, 2011. The SF269 Financial Status Report - FSR) is due to the CDC Grants Management Office no later than 90 days after the end of each budget period (December 29, 2011). The FSR is not to report costs cumulatively.

The Annual Progress Report is due no less than 90 days after the end of the budget period (December 29, 2011).

Closeout requirements will be issued by the Grants Management Specialist 90 days before the end of the project period.

4. CORRESPONDENCE: All correspondence regarding this award must be identified with the award number as shown on the face page of this award.

5. PRIOR APPROVAL: All requests that require the prior approval of the Grants Management Officer must bear the signature of an authorized official of the grantee business office as well as that of the principal investigator or program director. Any request received without two signatures will be returned. Additionally, any requests involving funding issues must include a new proposed budget and a narrative justification of the requested changes.

6. EQUIPMENT AND PRODUCTS: To the greatest extent practicable, all equipment and products purchased with CDC funds should be American-made. Title to equipment acquired by a recipient with HHS funds shall vest in the recipient, subject to the conditions in 45 CFR 74.34. In addition, the recipients property management standards for equipment acquired with Federal Funds and federally-owned equipment shall be maintained in accordance with 45 CFR 74.34.

7. INVENTIONS: Acceptance of grant funds obligates recipients to comply with the "standard patent rights" clauses in 37 CFR 401.14.

8. PUBLICATIONS: Publications, journal articles, etc. produced under a CDC grant support project must bear an acknowledgment and disclaimer, as appropriate, such as: This publication (journal article, etc.) was supported by Cooperative Agreement Number U51CI000460-05 from Centers for Disease Control and Prevention (CDC). Its contents are solely the responsibility of the authors and do not necessarily represent the official views of CDC.

9. ACKNOWLEDGMENT OF FEDERAL SUPPORT: When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all awardees receiving Federal funds, including and not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

10. INSPECTOR GENERAL: The HHS Office of the Inspector General (OIG) maintains a toll-free telephone number, (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.

11. PAYMENT INFORMATION

Automatic Drawdown:

Payment under this award will be made available through the Department of Health and Human Services (HHS) Payment Management System (PMS). PMS is administered by the Division of Payment Management, Program Support Center, HHS. PMS will forward (1) the HHS Manual for Recipients Financed Under the Payment Management System; (2) (PMS), PMS-270 (Request for Advance and Reimbursement); (3) PMS-272 (Status of Federal Cash) forms; (4) a contact person's name; and (5) instructions regarding direct deposit and other payment routes.

A. PMS correspondence, mailed through the U.S. Postal Service, should be addressed as follows: U.S. Department of Health and Human Services, Division of Payment Management, P.O. Box 6021 Rockville, MD 20857.

B. For all other carriers, such as United Parcel Service, Federal Express, or other commercial service, the correspondence should be addressed as follows: U.S Department of Health and Human Services, Division of Payment Management, 5600 Fishers Lane 11-33, 11th Floor-Head House Wing, Rockville, MD 20857.

C. By drawing or otherwise obtaining funds for the award from the grant payment system, the recipient accepts the terms and conditions of the award and agrees to perform in accordance with the requirements of the award.

12. TRAFFICKING IN PERSONS: This award is subject to the requirements of Section 106(g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. 7104). For the full text of the award term and condition, go to:
http://www.cdc.gov/od/pgo/funding/grants/Award_Term_and_Condition_for_Trafficking_in_Persons.shtm.

13. AUDIT REQUIREMENT:

Foreign recipients are subject to audit requirements specified in 45 CFR 74.26(d). A non-Federal audit is required, if during the recipients fiscal year, the recipient expended a total of $500,000.00 or more under one or more HHS awards (as a direct recipient and/or as a subrecipient). The recipient either may have (1) A financial related audit (as defined in the Government Auditing Standards, GPO stock#020-000-00-265-4) of a particular award in accordance with Government Accounting Standards, in those cases where the recipient receives awards under only one HHS program; or, if awards are received under multiple HHS programs, a financial related audit of all HHS awards in accordance with Government Auditing Standards; or (2) An audit that meets the requirements contained in OMB Circular A-133. Please forward a completed audit to the following address:

Office of Inspector General
Office of Audit Services
National External Audit Review Center
1100 Walnut Street
Kansas City, MO 64106-2197

a. Recipient fiscal year: January 01, 2010 to December 31, 2010

b. Report Submission: The earlier of

(1). 30 days after receipt of the auditors report(s) or
(2). Nine months after the end of the audit period (September 30, 2011)

14. CDC CONTACT NAMES:

Programmatic Contact
Charlene Sanders
Centers for Disease Control and Prevention (CDC)
Coordinating Center for Infectious Diseases
1600 Clifton Road, M/S: A-20
Atlanta, GA 30333
Telephone: (404) 639-0345
Fax: (404) 639-2556
E-mail: zen3@cdc.gov

STAFF CONTACTS
Grants Management Specialist: Steward Nichols
Centers for the Disease Control and Prevention
Procurement and Grant Office
Koger Center, Colgate Building
2920 Brandywine Road, Mailstop K&%
Atlanta, GA 30341
Email: snichols1@cdc.gov **Phone**: 770-488-2788 **Fax**: 770-488-2688

Grants Management Officer: Arthur Lusby
Centers for Disease Control and Prevention (CDC)
Procurment and Grants Office
2920 Brandywine Road, MS E-15
Atlanta, GA 30341
Email: alusby@cdc.gov **Phone**: (770) 488-2865 **Fax**: 770-488-2868

SPREADSHEET SUMMARY
GRANT NUMBER: 5U51CI000460-05 REVISED

INSTITUTION: NATIONAL CENTER/DISEASE CTRL/MED STATS

Budget	***Year 5***
Salaries and Wages	$49,248
Personnel Costs (Subtotal)	$49,248
Equipment	$123,490
Supplies	$19,360
Travel Costs	$47,400
Other Costs	$35,902
Consortium/Contractual Cost	$49,600
TOTAL FEDERAL DC	$325,000
TOTAL FEDERAL F&A	$0
TOTAL COST	$325,000